

# Dense graphs and a conjecture for tree-depth

Michael D. Barrus

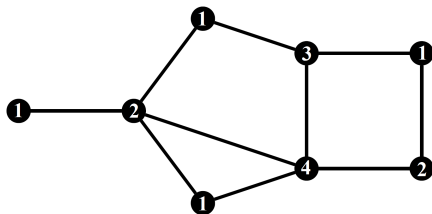
Department of Mathematics  
University of Rhode Island

URI Discrete Mathematics Seminar  
October 14, 2016

Joint work with John Sinkovic (University of Waterloo)

# Tree-depth

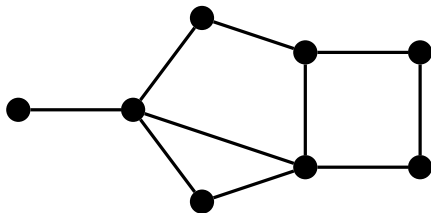
(aka (vertex) ranking number, ordered coloring number, ...)



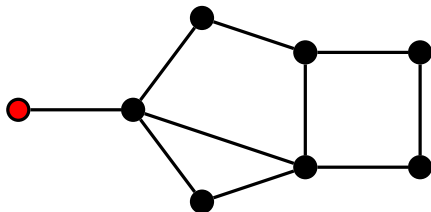
**Tree-depth**  $td(G)$ : the smallest number of labels needed in a labeling of the vertices of  $G$  such that every path with equally-labeled endpoints also has a higher label. (Here,  $td(G) = 4$ )

**Equivalently**, the minimum number of vertex deletion steps needed to delete all of  $G$ , where in each step at most one vertex is deleted from each connected component.

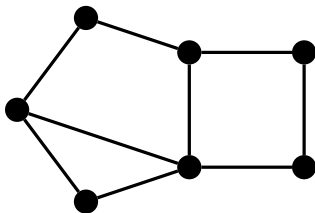
# Tree-depth and minors



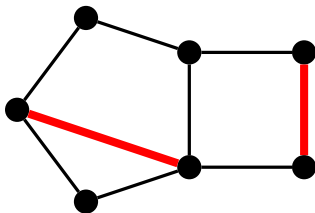
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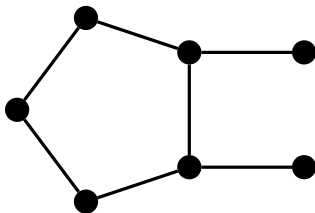
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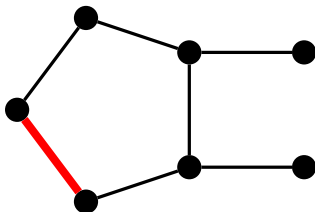
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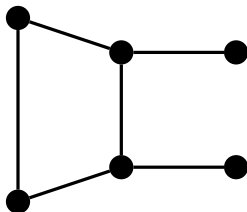


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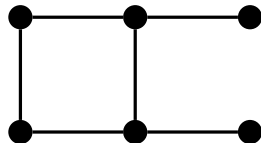
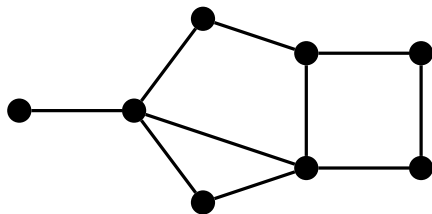




# Tree-depth and minors



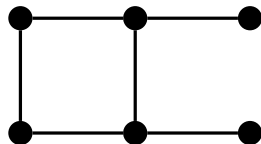
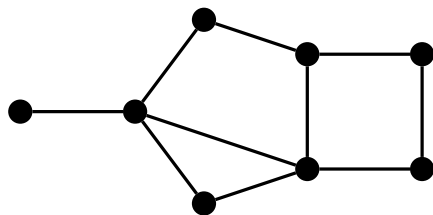
## Tree-depth and minors



### Theorem

*If  $G$  contains  $H$  as a minor, then  $\text{td}(G) \geq \text{td}(H)$ .*

## Tree-depth and minors



### Theorem

If  $G$  contains  $H$  as a minor, then  $\text{td}(G) \geq \text{td}(H)$ .

**NOTE:** A substructure of  $G$  forces  $G$  to have a higher tree-depth than it might otherwise have.

Call a graph **critical** if every proper minor has a smaller tree-depth.  
( **$k$ -critical** = critical, with tree-depth  $k$ )

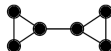
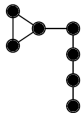
# Critical graphs for small tree-depths

(Dvořák–Giannopoulou–Thilikos, '09, '12)

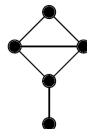
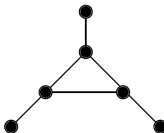
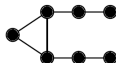
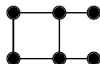
1: ●

2: ●—●

3: ●—●—●—●



4:



5: 136 trees,  
plus...

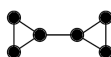
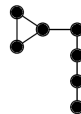
- What structural properties must critical graphs possess?

# Structural properties of $k$ -critical graphs

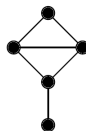
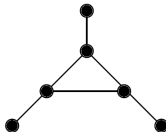
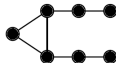
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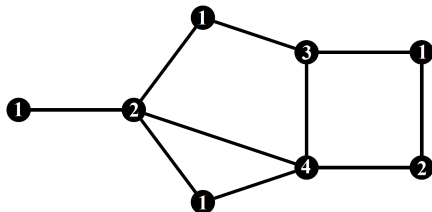
## Conjectures

If  $G$  is  $k$ -critical, then

- $|V(G)| \leq 2^{k-1}$  [Dvořák–Giannopoulou–Thilikos, '09, '12]
- $\Delta(G) \leq k - 1$  [B–Sinkovic, '16]

# An approach to the max degree conjecture

Any vertex with the smallest label has neighbors with distinct labels.



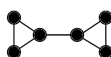
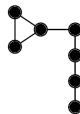
If in some optimal labeling of  $G$  a vertex of maximum degree receives the label 1, then  $\Delta(G) \leq \text{td}(G) - 1$ .

# Stronger conjectures

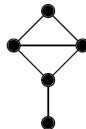
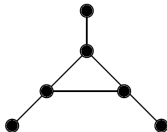
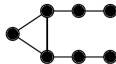
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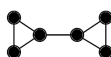
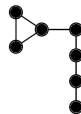


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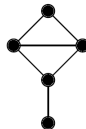
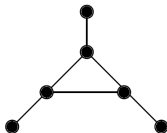
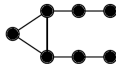
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## Conjectures

If  $G$  is  $k$ -critical, then

- $G$  has an optimal labeling where some vertex with maximum degree is labeled 1.

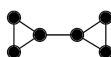
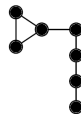


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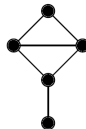
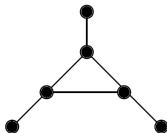
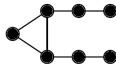
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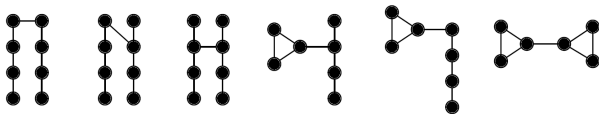
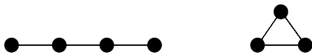
- For **each** vertex  $v$  of  $G$ , there is an optimal labeling where  $v$  receives label 1.

# Stronger conjectures

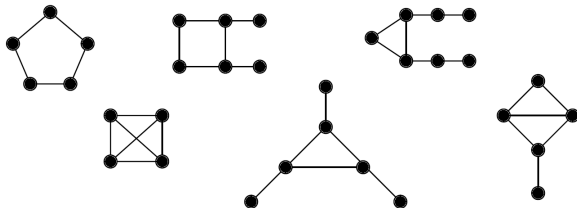
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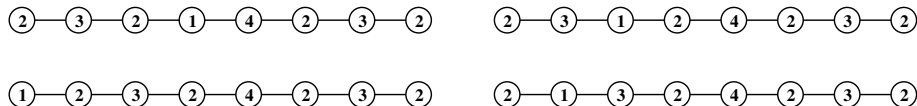


**Conjectures** If  $G$  is  $k$ -critical, then

- For **each** vertex  $v$  of  $G$ , there is an optimal labeling where  $v$  is the **unique** vertex receiving label 1.

# 1-uniqueness

Given a graph  $G$ , we say that a vertex  $v$  is **1-unique** if there is an optimal labeling of  $G$  where  $v$  is the only vertex receiving label 1.



We say a graph  $G$  is **1-unique** if each vertex of  $G$  is 1-unique.

## Observation

If  $G$  is 1-unique, then  $\Delta(G) \leq \text{td}(G) - 1$ .

## Conjecture

If  $G$  is critical, then  $G$  is 1-unique.

# Plausibility: 1-uniqueness as a type of criticality?

## Theorem (B–Sinkovic, 2016)

*If  $G$  is a 1-unique graph, then*

- *deleting any vertex of  $G$  lowers the tree-depth, and*
- *contracting any edge of  $G$  lowers the tree-depth.*

*Hence  $G$  has a connected spanning subgraph that is  $\text{td}(G)$ -critical.*

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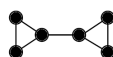
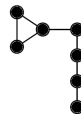
***NOTE: We get a  $\text{td}(G)$ -critical graph by deleting some (possibly none) of the edges of  $G$ .***

# Plausibility: small tree-depths

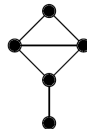
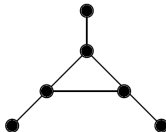
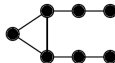
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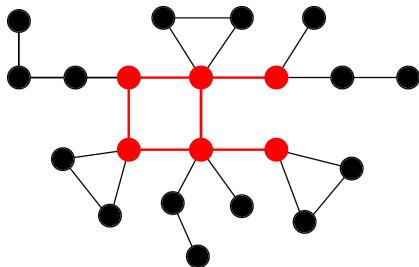


## Conjecture

- If  $G$  is  $k$ -critical, then  $G$  is 1-unique.

True for  $k \leq 4...$

## Another application of 1-uniqueness

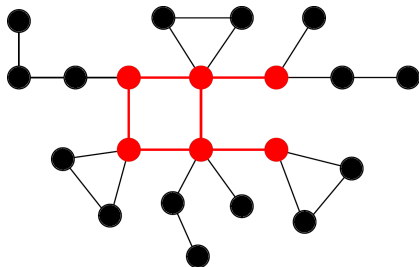


### Theorem (B–Sinkovic, 2016)

*Graphs  $G$  constructed with  $k$ -critical “appendages”  $L_i$  and an  $\ell$ -critical “core”  $H$ ...*

- ...have tree-depth  $k + \ell - 1$  if  $H$  and all the  $L_i$  are critical;*
- ...are critical if  $H$  is also 1-unique;*
- ...are 1-unique if  $H$  and all the  $L_i$  are 1-unique;*

## Another application of 1-uniqueness



### Theorem (B–Sinkovic, 2016)

*Graphs  $G$  constructed with  $k$ -critical “appendages”  $L_i$  and an  $\ell$ -critical “core”  $H$ ...*

- ...have order at most  $2^{\text{td}(G)-1}$  if  $|V(H)| \leq 2^{\ell-1}$  and  $|V(L_i)| \leq 2^{k-1}$  for all  $i$ .*

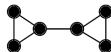
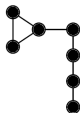


# Some notes

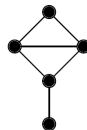
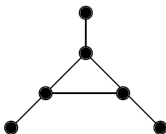
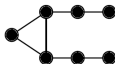
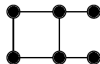
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5: 136 trees,  
plus...

## Observations

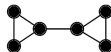
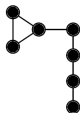
- Max degree conjecture always true, graph order bound often true (at least!) if all critical graphs are 1-unique.

# Some notes

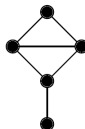
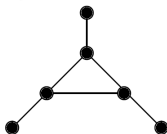
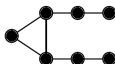
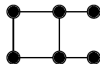
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## Observations

- Max degree conjecture always true, graph order bound often true (at least!) if all critical graphs are 1-unique.
- ***Most of the critical graphs seem to be sparse...***

## Another view

Of course,  $K_n$  is always dense,  $n$ -critical...

**Curiosity: For which (critical?) graphs  $G$  is tree-depth close to  $n(G)$ ?**

# High tree-depth

Let  $G$  be a graph with  $n(G) = n$ .

- $\text{td}(G) = n$  iff  $G$  is  $\{2K_1\}$ -free.



# High tree-depth

Let  $G$  be a graph with  $n(G) = n$ .

- $\text{td}(G) = n$  iff  $G$  is  $\{2K_1\}$ -free.



- $\text{td}(G) \geq n - 1$  iff  $G$  is  $\{3K_1, 2K_2\}$ -free.

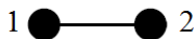
$\text{td}(G) \geq n - 1$  iff  $G$  is  $\{3K_1, 2K_2\}$ -free.



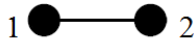
Label the  $3K_1$  the same; distinct labels on all other vertices.



$$\text{td}(G) \leq 1 + (n - 3) = n - 2.$$



Label the  $2K_2$  with two labels; distinct labels on all other vertices.



$$\text{td}(G) \leq 2 + (n - 4) = n - 2.$$

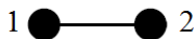
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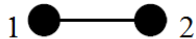
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$$\text{td}(G) \leq 2 + (n - 4) = n - 2.$$

**NOTE: A substructure of  $G$  forces  $G$  to have a lower tree-depth than it might otherwise have.**

$\text{td}(G) \geq n - 1$  iff  $G$  is  $\{3K_1, 2K_2\}$ -free.

Low tree-depth forces induced subgraphs

If  $\text{td}(G) \leq n - 2$  then fix an optimal labeling. Two vertices share labels with other vertices.

Case: Only one label appears on multiple vertices.

Case: Two labels appears on multiple vertices.





# High tree-depth

Let  $G$  be a graph with  $n(G) = n$ .



- $\text{td}(G) = n$  iff  $G$  is  $\{2K_1\}$ -free.
- $\text{td}(G) \geq n - 1$  iff  $G$  is  $\{3K_1, 2K_2\}$ -free.
- $\text{td}(G) \geq n - 2$  iff  $G$  is  $\{4K_1, 2K_2 + K_1, P_3 + K_2, 2K_3\}$ -free.
- Conjectured:  $\text{td}(G) \geq n - 3$  iff  $G$  is  $\{5K_1, 2K_2 + 2K_1, P_3 + K_2 + K_1, 2K_3 + K_1, 2P_3, S_4 + K_2, 3K_2, \text{diamond} + K_3, C_4 + K_3, \text{paw} + K_3, P_4 + K_3, 2K_4\}$ -free.

# High tree-depth is hereditary

## Theorem

*Given a nonnegative integer  $k$ , let  $\mathcal{F}_k$  denote the set of minimal elements, under the induced subgraph ordering, of all graphs  $H$  for which  $n(H) - \text{td}(H) \geq k + 1$ . For all graphs  $G$ , the graph  $G$  satisfies  $\text{td}(G) \geq n(G) - k$  if and only if  $G$  is  $\mathcal{F}_k$ -free.*

## Back to criticality and 1-uniqueness

### Theorem

If  $G$  is an  $n$ -vertex critical graph **and**  $\text{td}(G) \geq n - 1$ , then  $G$  is 1-unique.

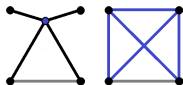
## Back to criticality and 1-uniqueness

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### Lemma

$\text{td}(G) \geq n - 1$  iff  $G$  is  $\{3K_1, 2K_2\}$ -free.



### Theorem (B–Sinkovic, 2016)

A graph  $G$  is 1-unique if and only if every star-clique transformation lowers the tree-depth.

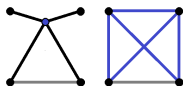
# Back to criticality and 1-uniqueness

## Theorem

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$\text{td}(G) \geq n - 1$  iff  $G$  is  $\{3K_1, 2K_2\}$ -free.



## Theorem (B–Sinkovic, 2016)

A graph  $G$  is 1-unique if and only if every star-clique transformation lowers the tree-depth.

**Outline:** Easy to verify if  $\text{td}(G) = n$ , so assume  $\text{td}(G) = n - 1$ .

Let  $H$  be a graph obtained from  $G$  via a star-clique transformation at  $v$ .

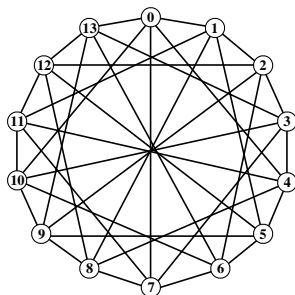
- $H$  is still  $\{3K_1, 2K_2\}$ -free.
- $\text{td}(H) < \text{td}(G)$  unless  $H$  is a complete graph.
- $G - vu$  has tree-depth  $n - 2$ ; subgraphs induced force  $H$  to not be complete.

# Interesting families of dense(ish) graphs

## The **Andrasfai graphs**

$\text{And}(k)$  has vertex set  $\{0, \dots, 3k - 2\}$ .

Edges join vertices whose difference is 1 modulo 3. (The graph is a circulant graph.)



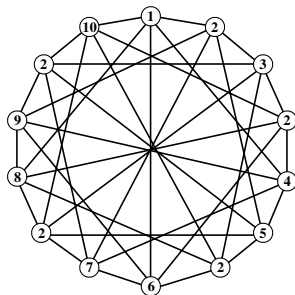
- $\text{And}(k)$  is triangle-free.
- Every maximal independent set is a vertex neighborhood.
- $\text{And}(k)$  is  $k$ -connected,  $k$ -regular, and has independence number  $k$ .

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- 
- ▶  $\text{td}(\text{And}(k)) = 2k$  and  $\text{td}(\text{And}(k) - v) = 2k - 1$
  - ▶  $\text{And}(k)$  and  $\text{And}(k) - v$  are both 1-unique.
  - ▶  $\text{And}(k)$  and  $\text{And}(k) - v$  are both tree-depth-critical.

# Separating 1-uniqueness from criticality

## Conjecture

If  $G$  is critical, then  $G$  is 1-unique.

## Theorem (B–Sinkovic, 2016)

If  $G$  is a 1-unique graph, then

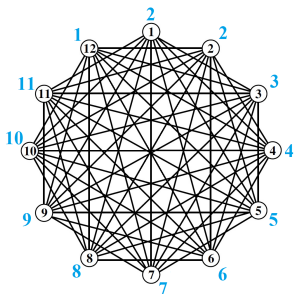
- deleting any vertex of  $G$  lowers the tree-depth, and
- contracting any edge of  $G$  lowers the tree-depth.

Hence  $G$  has a connected spanning subgraph that is  $\text{td}(G)$ -critical.

**NOTE: We get a  $\text{td}(G)$ -critical graph by deleting some (possibly none) of the edges of  $G$ . (How many?)**



## Another dense family: cycle complements



For all  $n \geq 5$ ,  
 $\text{td}(\overline{C_n}) = n - 1$  and  $\overline{C_n}$  is 1-unique.

For  $n \geq 8$ ,  $\overline{C_n}$  is not critical.

But we can delete edges from  $\overline{C_n}$  to reach an  $(n - 1)$ -critical spanning subgraph...how many edges can it take?

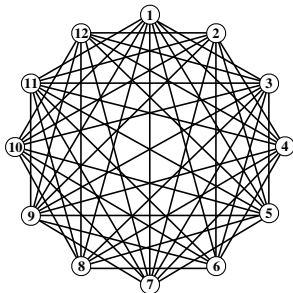
# 1-unique can be far from critical

For all  $n \geq 5$ ,  $\text{td}(\overline{C_n}) = n - 1$  and  $\overline{C_n}$  is 1-unique.

For  $n = 4k$  and  $k \geq 2$ , let  $G_n$  be obtained from  $\overline{C_n}$  by deleting every other antipodal chord.

For  $n = 4k \geq 8$ ,  $G_n$  is a  $\{3K_1, 2K_2\}$ -free spanning subgraph having  $k$  fewer edges than  $\overline{C_n}$ .

(Note that  $G_n$  need not be critical. Empirically, it seems that  $\overline{C_n}$ 's nearest spanning critical subgraph differs from it by an increasing number of edges.)



# Resolution

## Conjecture

For any  $k$ , if  $G$  is  $k$ -critical, then  $G$  is 1-unique.

**Known true for**

$$k = 1, 2, 3, 4,$$

$$n - 1, n$$

# Resolution

## Conjecture

For any  $k$ , if  $G$  is  $k$ -critical, then  $G$  is 1-unique.

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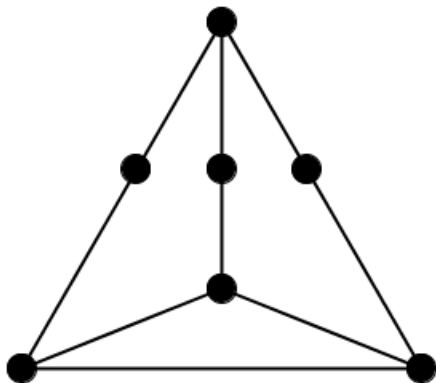
$$k = 1, 2, 3, 4,$$

$$n - 1, n$$

**False for**

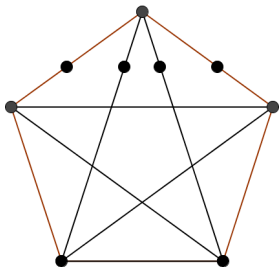
$$k = 5, 6, \dots, n - 2$$

# Counterexample



A 7-vertex critical graph  $G$  with  $\text{td}(G) = 5 = n(G) - 2$  that is not 1-unique!

# Counterexamples

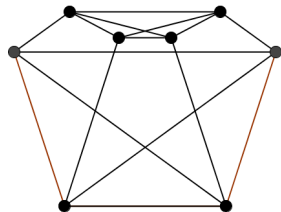


For  $t \geq 2$ , form  $H_t$  by subdividing all edges incident with a vertex of  $K_{t+2}$ .

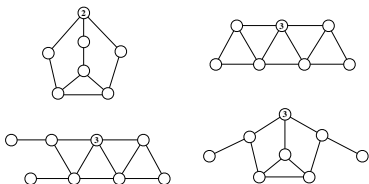
Here,  $n(H_t) = 2t + 3$   
 $\text{td}(H_t) = t + 3 = n - t$

$H_t$  is critical.

The vertex at the subdivided edges' center cannot receive the unique 1—a star-clique transformation at this vertex yields  $K_{t+1} \square K_2$ , which has tree-depth  $\lceil (3/2)(t+1) \rceil \geq t+3$ .



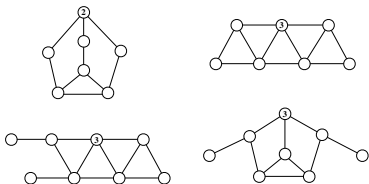
# Finding counterexamples



Computer search using SageMath's graph database and functions

- Iterate through proper colorings of a graph.
- Identify colorings with a unique lowest label; identify 1-unique (or nearly 1-unique) graphs.
- Use 1-uniqueness to produce candidates for criticality testing.

# Ongoing questions



- Using non-1-unique critical graphs to construct larger critical graphs.
- Empirically, it appears each  $k$ -critical non-1-unique graph yields a  $(k - 1)$ -critical graph when the sole problem vertex is removed. Is this always the case?
- How to prove/disprove that critical graphs satisfy  $\Delta(G) \leq \text{td}(G) - 1$ ?



Thank you!