

# Graphs with low Erdős–Gallai differences

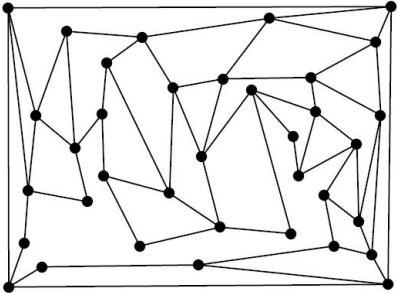
Michael D. Barrus

Department of Mathematics  
University of Rhode Island



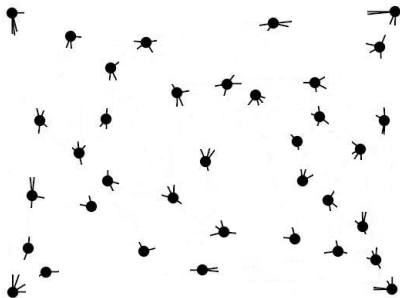
48th Southeastern International Conference  
on Combinatorics, Graph Theory, and Computing  
Florida Atlantic University • March 8, 2017

# Degree sequences, inequalities, and graphs



$$d(G) = (4, 3, 3, 3, 3, 3, 3, 3, 3, 2, 2, 2, 2, 2, 2)$$

# Degree sequences, inequalities, and graphs



$$d(G) = (4, 3, 3, 3, 3, 3, 3, 3, 3, 2, 2, 2, 2, 2, 2)$$

# Degree sequences, inequalities, and graphs

(5, 5, 5, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 3, 3,  
3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 2, 2, 2, 2, 2, 2, 1)

# Degree sequences, inequalities, and graphs

(5, 5, 5, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 3, 3,  
3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 2, 2, 2, 2, 2, 2, 1)

## Erdős–Gallai inequalities (1960)

A list  $(d_1, \dots, d_n)$  of nonnegative integers in descending order with even sum is a degree sequence if and only if

$$\sum_{i \leq k} d_i \leq k(k-1) + \sum_{i > k} \min\{k, d_i\}$$

for all  $k \leq \max\{i : d_i \geq i-1\}$ .

# Degree sequences, inequalities, and graphs

(5, 5, 5, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 3, 3,  
3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 2, 2, 2, 2, 2, 2, 1)

## Erdős–Gallai inequalities (1960)

A list  $(d_1, \dots, d_n)$  of nonnegative integers in descending order with even sum is a degree sequence if and only if

$$\sum_{i \leq k} d_i \leq k(k-1) + \sum_{i > k} \min\{k, d_i\}$$

for all  $k \leq \max\{i : d_i \geq i - 1\}$ .

What happens when **equality** holds?

# Threshold graphs

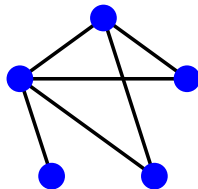
A **threshold sequence** is a list  $d = (d_1, \dots, d_n)$  of nonnegative integers in descending order having even sum and satisfying

$$\sum_{i \leq k} d_i = k(k-1) + \sum_{i > k} \min\{k, d_i\}$$

for all  $k \leq \max\{i : d_i \geq i - 1\}$ .

A **threshold graph** is a graph having a threshold sequence as its degree sequence.

$(4, 3, 2, 2, 1)$



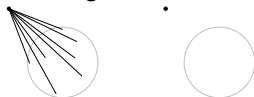
# Properties of threshold graphs

(Chvátal, Hammer, others, 1973+)

- Equality in the first  $m(d)$  Erdős–Gallai inequalities.

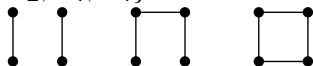
$$\sum_{i \leq k} d_i = k(k-1) + \sum_{i > k} \min\{k, d_i\}$$

- Iterative construction via dominating/isolated vertices

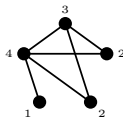


- There are exactly  $2^{n-1}$  threshold graphs on  $n$  vertices.

- $\{2K_2, P_4, C_4\}$ -free



- Unique realization of degree sequence



- Threshold sequences majorize all other degree sequences

- ...



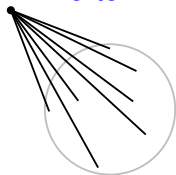
# Graph building



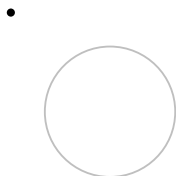
# Graph building

## Options for adding

Dominating vertex



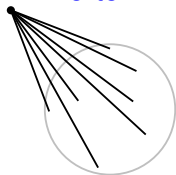
Isolated vertex



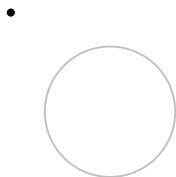
# Graph building

## Options for adding

Dominating vertex



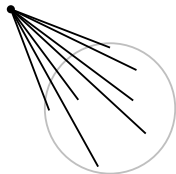
Isolated vertex



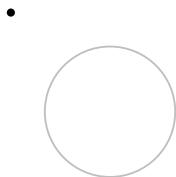
# Graph building

## Options for adding

Dominating vertex



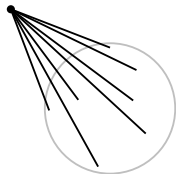
Isolated vertex



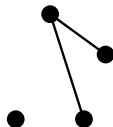
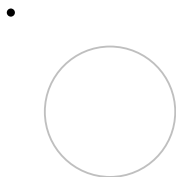
# Graph building

## Options for adding

Dominating vertex



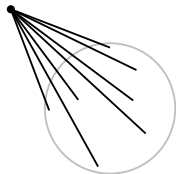
Isolated vertex



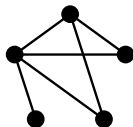
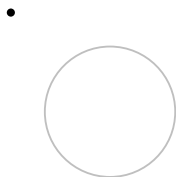
# Graph building

## Options for adding

Dominating vertex



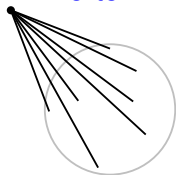
Isolated vertex



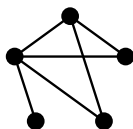
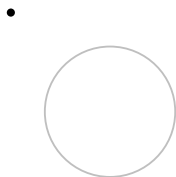
# Graph building

## Options for adding

### Dominating vertex



### Isolated vertex

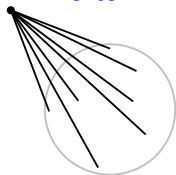


$G$  is a threshold graph if and only if  $G$  can be constructed from a single vertex via these operations.

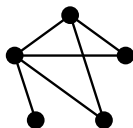
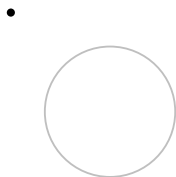
# Graph building

## Options for adding

### Dominating vertex



### Isolated vertex



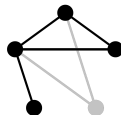
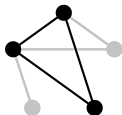
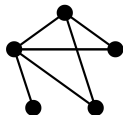
$G$  is a threshold graph if and only if  $G$  can be constructed from a single vertex via these operations.

Consequently, up to isomorphism there are exactly  $2^{n-1}$  threshold graphs on  $n$  vertices.



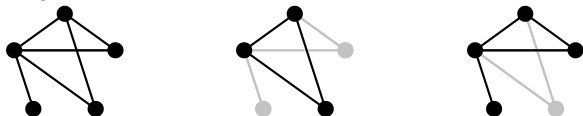
# A forbidden subgraph characterization

**Induced subgraph:** a subgraph obtained by deleting vertices and their incident edges



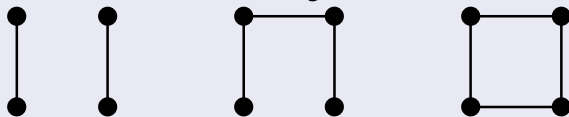
# A forbidden subgraph characterization

**Induced subgraph:** a subgraph obtained by deleting vertices and their incident edges



## Theorem (Chvátal–Hammer, 1973)

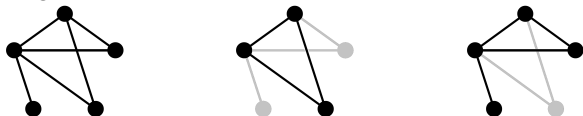
*Any induced subgraph of a threshold graph is a threshold graph. In fact,  $G$  is a threshold graph iff  $G$  has no induced subgraph isomorphic to one of the following:*



*(We say that  $G$  is  $\{2K_2, P_4, C_4\}$ -free.)*

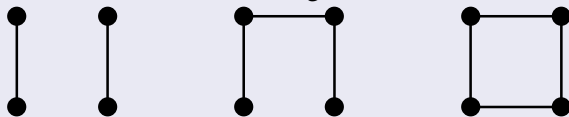
# A forbidden subgraph characterization

**Induced subgraph:** a subgraph obtained by deleting vertices and their incident edges



## Theorem (Chvátal–Hammer, 1973)

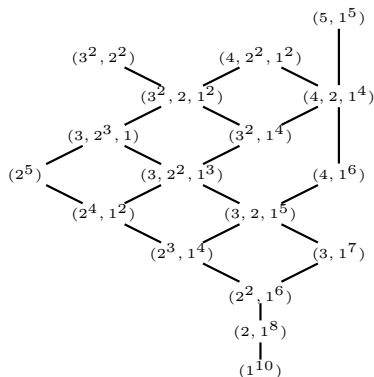
*Any induced subgraph of a threshold graph is a threshold graph. In fact,  $G$  is a threshold graph iff  $G$  has no induced subgraph isomorphic to one of the following:*



*(We say that  $G$  is  $\{2K_2, P_4, C_4\}$ -free.)*

Consequently, for every threshold sequence there is only one threshold graph.

# Threshold sequences and majorization



**Theorem (Ruch–Gutman, 1979; Peled–Srinivasan, 1989)**

*$d$  is a threshold sequence if and only if  $d$  is a maximal element in the poset of all degree sequences with the same sum, ordered by majorization.*

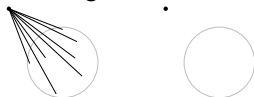
# Properties of threshold graphs

(Chvátal, Hammer, others, 1973+)

- Equality in the first  $m(d)$  Erdős–Gallai inequalities.

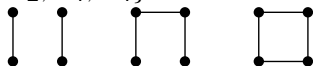
$$\sum_{i \leq k} d_i = k(k-1) + \sum_{i > k} \min\{k, d_i\}$$

- Iterative construction via dominating/isolated vertices

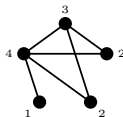


- There are exactly  $2^{n-1}$  threshold graphs on  $n$  vertices.

- $\{2K_2, P_4, C_4\}$ -free



- Unique realization of degree sequence



- Threshold sequences majorize all other degree sequences

- ...

# Weakly threshold sequences and graphs

(B, 2017+)

- Equality or a difference of 1 in each of the first  $m(d)$  Erdős–Gallai inequalities.

$$k(k-1) + \sum_{i>k} \min\{k, d_i\} - \sum_{i\leq k} d_i \leq 1$$

Call these **weakly threshold sequences**; call the associated graphs **weakly threshold graphs**.

# Weakly threshold sequences and graphs

(B, 2017+)

- Equality or a difference of 1 in each of the first  $m(d)$  Erdős–Gallai inequalities.

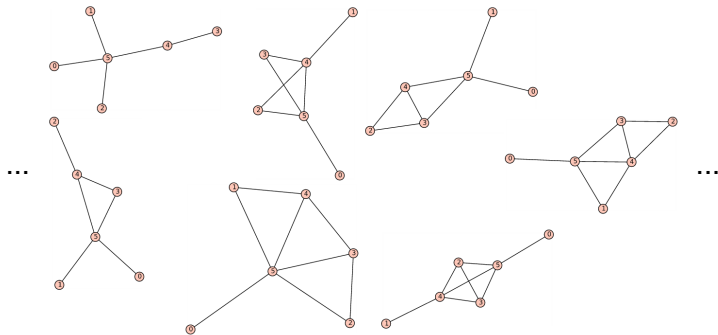
$$k(k-1) + \sum_{i>k} \min\{k, d_i\} - \sum_{i\leq k} d_i \leq 1$$

Call these **weakly threshold sequences**; call the associated graphs **weakly threshold graphs**.

- Iterative construction?

- How many weakly threshold sequences/graphs on  $n$  vertices?
- Forbidden subgraph characterization?
- Unique realizations of degree sequences?
- Majorization result?
- ...?...

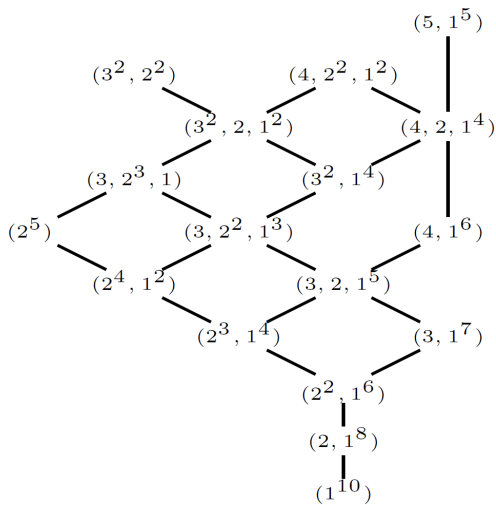
# Non-threshold, weakly threshold graphs





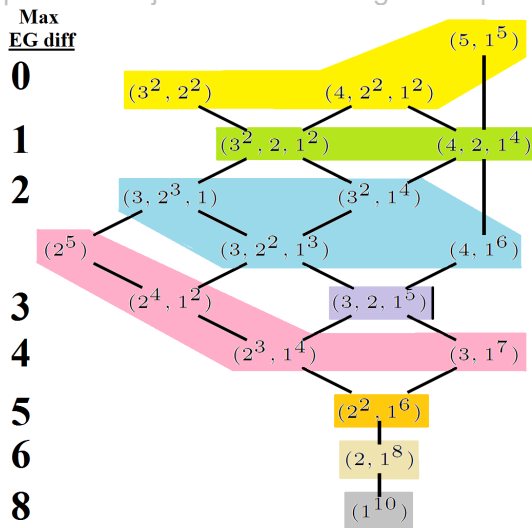
# Near the threshold

Threshold sequences majorize all other degree sequences.



# Near the threshold

Threshold sequences majorize all other degree sequences.



WT sequences (and all  $\text{diff} \leq b$ ) are upwards-closed, continue to majorize.

# Weakly threshold sequences and graphs

- Equality or a difference of 1 in each of the first  $m(d)$  Erdős–Gallai inequalities.

$$k(k-1) + \sum_{i>k} \min\{k, d_i\} - \sum_{i\leq k} d_i \leq 1$$

Call these **weakly threshold sequences**; call the associated graphs **weakly threshold graphs**.

- Iterative construction?

- How many weakly threshold sequences/graphs on  $n$  vertices?
- Forbidden subgraph characterization?
- Unique realizations of degree sequences?
- Majorization result**
- ...?...

# Iterative construction



## Theorem

$G$  is a *threshold graph* if and only if  $G$  can be constructed by beginning with a single vertex and iteratively adding

- a dominating vertex, or
- an isolated vertex

# Iterative construction



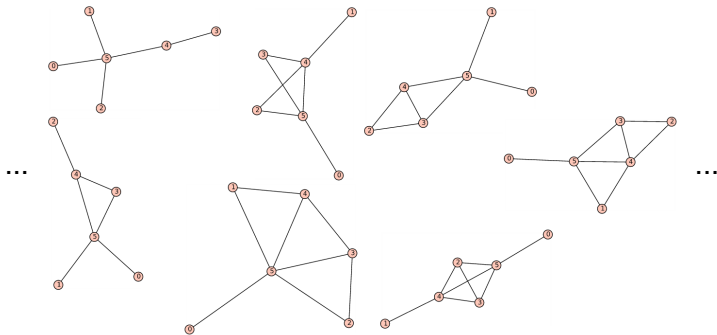
## Theorem

$G$  is a **weakly** threshold graph if and only if  $G$  can be constructed by beginning with a single vertex **or**  $P_4$  and iteratively adding

- a dominating vertex, or
- an isolated vertex, **or**
- a **weakly dominating vertex**, or
- a **weakly isolated vertex**, or
- a **semi-joined  $P_4$** .



# Non-threshold, weakly threshold graphs



# Weakly threshold sequences and graphs

- Equality or a difference of 1 in each of the first  $m(d)$  Erdős–Gallai inequalities.

$$k(k-1) + \sum_{i>k} \min\{k, d_i\} - \sum_{i\leq k} d_i \leq 1$$

Call these **weakly threshold sequences**; call the associated graphs **weakly threshold graphs**.

- Iterative construction**

- How many weakly threshold sequences/graphs on  $n$  vertices?
- Forbidden subgraph characterization?
- Unique realizations of degree sequences?
- Majorization result**
- ...?...

# A forbidden subgraph characterization

$G$  is a threshold graph iff  $G$  is  $\{2K_2, P_4, C_4\}$ -free





# A forbidden subgraph characterization

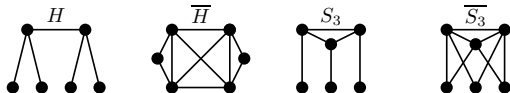
$G$  is a threshold graph iff  $G$  is  $\{2K_2, P_4, C_4\}$ -free



## Theorem

*The class of weakly threshold graphs is closed under taking induced subgraphs.*

*In fact, a graph  $G$  is weakly threshold if and only if it is  $\{2K_2, C_4, C_5, H, \overline{H}, S_3, \overline{S_3}\}$ -free.*



# A forbidden subgraph characterization

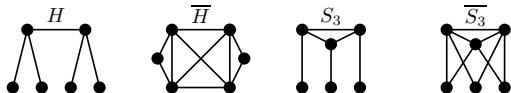
$G$  is a threshold graph iff  $G$  is  $\{2K_2, P_4, C_4\}$ -free



## Theorem

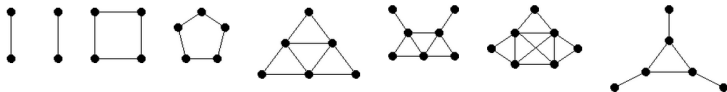
*The class of weakly threshold graphs is closed under taking induced subgraphs.*

*In fact, a graph  $G$  is weakly threshold if and only if it is  $\{2K_2, C_4, C_5, H, \overline{H}, S_3, \overline{S_3}\}$ -free.*



Weakly threshold graphs form a large subclass of **interval**  $\cap$  **co-interval**.

(The latter class's forbidden induced subgraphs:)



# Weakly threshold sequences and graphs

- Equality or a difference of 1 in each of the first  $m(d)$  Erdős–Gallai inequalities.

$$k(k-1) + \sum_{i>k} \min\{k, d_i\} - \sum_{i\leq k} d_i \leq 1$$

Call these **weakly threshold sequences**; call the associated graphs **weakly threshold graphs**.

- Iterative construction**

- How many weakly threshold sequences/graphs on  $n$  vertices?

- Forbidden subgraph characterization**

- Unique realizations of degree sequences?

- Majorization result**

- ...?...

## Enumeration: more subtle

Threshold iff constructed from  $\bullet$  via dominating/isolated vertices;  
therefore, exactly  $2^{n-1}$  threshold graphs on  $n$  vertices.

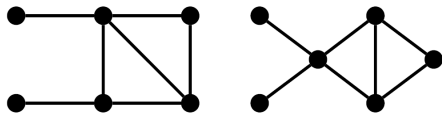
A graph is weakly threshold iff it is constructed from a single vertex or  $P_4$  by iteratively adding one of ...

## Enumeration: more subtle

Threshold iff constructed from  $\bullet$  via dominating/isolated vertices;  
therefore, exactly  $2^{n-1}$  threshold graphs on  $n$  vertices.

A graph is weakly threshold iff it is constructed from a single vertex or  $P_4$  by iteratively adding one of ...

One wrinkle (of many): there is a difference between counting weakly threshold sequences / weakly threshold graphs (isomorphism classes).



**Unlike** threshold sequences, some weakly threshold sequences have multiple realizations!

# Enumeration: sequences

$a_n$  = number of weakly threshold **sequences** of length  $n$

**Proposition:** For all  $n \geq 4$ ,  $a_n = 4a_{n-1} - 4a_{n-2} + a_{n-4}$ .

(1, ) 1, 2, 4, 9, 21, 50, 120, 289, 697, 1682, 4060, ...

# Enumeration: sequences

$a_n$  = number of weakly threshold **sequences** of length  $n$

**Proposition:** For all  $n \geq 4$ ,  $a_n = 4a_{n-1} - 4a_{n-2} + a_{n-4}$ .

(1, )1, 2, 4, 9, 21, 50, 120, 289, 697, 1682, 4060, ...

It's in OEIS.org! Sequences A024537, A171842

- Binomial transform of 1, 0, 1, 0, 2, 0, 4, 0, 8, 0, 16, ...
- Number of nonisomorphic  $n$ -element interval orders with no 3-element antichain.
- Top left entry of the  $n$ th power of  $\begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix}$  or of  $\begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$ .
- Number of  $(1, s_1, \dots, s_{n-1}, 1)$  such that  $s_i \in \{1, 2, 3\}$  and  $|s_i - s_{i-1}| \leq 1$ .
- Partial sums of the Pell numbers prefaced with a 1.
- The number of ways to write an  $(n - 1)$ -bit binary sequence and then give runs of ones weakly incrementing labels starting with 1, e.g., 0011010011022203003330044040055555.
- Lower bound of the order of the set of equivalent resistances of  $(n - 1)$  equal resistors combined in series and in parallel.

# Enumeration: graphs

$b_n$  = number of weakly threshold **graphs** with  $n$  vertices

## Theorem

*The generating function for  $(b_n)$  is given by*

$$\sum_{n=0}^{\infty} b_n x^n = \frac{x - 2x^2 - x^3 - x^5}{1 - 4x + 3x^2 + x^3 + x^5}.$$



# Enumeration: graphs

$b_n$  = number of weakly threshold **graphs** with  $n$  vertices

## Theorem

The generating function for  $(b_n)$  is given by

$$\sum_{n=0}^{\infty} b_n x^n = \frac{x - 2x^2 - x^3 - x^5}{1 - 4x + 3x^2 + x^3 + x^5}.$$

$$\begin{aligned} b_n = & c_1 \left( \frac{1 + \sqrt{5}}{2} \right)^n + c_2 \left( \frac{1 - \sqrt{5}}{2} \right)^n \\ & + c_3 \left( \frac{6 - (1 + i\sqrt{3})(27 - 3\sqrt{57})^{1/3} - (1 - i\sqrt{3})(27 + 3\sqrt{57})^{1/3}}{6} \right)^n \\ & + c_4 \left( \frac{6 - (1 - i\sqrt{3})(27 - 3\sqrt{57})^{1/3} - (1 + i\sqrt{3})(27 + 3\sqrt{57})^{1/3}}{6} \right)^n \\ & + c_5 \left( \frac{3 + (27 - 3\sqrt{57})^{1/3} + (27 + 3\sqrt{57})^{1/3}}{3} \right)^n, \end{aligned}$$

# Enumeration

There are exactly  $\frac{1}{2} \cdot 2^n$  threshold graphs on  $n$  vertices.

$$a_n \sim \frac{1}{4}(1 + \sqrt{2})^n$$

and

$$b_n \sim c_5 \left( \frac{3 + (27 - 3\sqrt{57})^{1/3} + (27 + 3\sqrt{57})^{1/3}}{3} \right)^n,$$

so for large  $n$ ,

$$a_n \geq \frac{1}{4} \cdot 2.4^n \quad \text{and} \quad b_n \geq 0.096 \cdot 2.7^n.$$

# Weakly threshold sequences and graphs

- Equality or a difference of 1 in each of the first  $m(d)$  Erdős–Gallai inequalities.

$$k(k-1) + \sum_{i>k} \min\{k, d_i\} - \sum_{i\leq k} d_i \leq 1$$

Call these **weakly threshold sequences**; call the associated graphs **weakly threshold graphs**.

- Iterative construction

- How many weakly threshold sequences/graphs on  $n$  vertices?

- Forbidden subgraph characterization

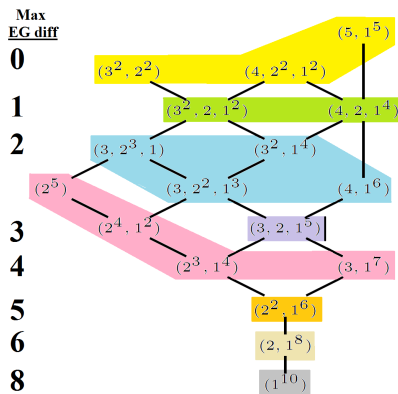
- Unique realizations of degree sequences? NO

- Majorization result

- ...?...

# Further questions

Many of the results for weakly threshold graphs appear to generalize to graphs with Erdős–Gallai differences bounded by  $b$ . Do they all?



Thank you!

barrus@uri.edu